



Substitute Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DOCKET	S.N.
		DOL11505	10/591,374
		APPLICANT Mark Franklin Davis	
INFORMATION DISCLOSURE STATEMENT		FILING DATE	GROUP
		August 31, 2006	2615

U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	6	US-4,464,784	8/7/84	Anthony M. Agnello (DOL07503 US)	4/30/81
	10	US-4,624,009	11/18/86	Glenn, et al. (DOL07503 US)	5/2/80
		US-			
		US-			
	15	US-2004/0122662	7/24/04	Brett Graham Crockett (DOL07503 US)	10/7/03
		US-			

FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO	
	4	WO 98/20482	5/14/98	Laroche, Jean (DOL07503 US)		
	7	WO 00/19414	4/6/00	Levine, Scott (DOL07503 US)		
	8	EP 0 525 544 A2	2/3/93	Hejna, Donald J., Jr., et al. (DOL07503 US)		
	9	JP 10074097	3/17/98	Go Tokufu (DOL07503 US) w/English Abstract		
	16	WO 02/084645	10/24/02	Brett Graham Crockett (DOL07503 US)		

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO	
	1	US Serial No. 10/474,387, filed 10/7/03, Brett Graham Crockett – 7/6/07 Office Action (DOL07503 US)		
	2	US Serial No. 10/474,387, filed 10/7/03, Brett Graham Crockett – 9/20/07 Response to Office Action (DOL07503 US)		
	3	PCT/US02/04317, filed 2/12/02 – International Search Report dated 10/15/02 (DOL07503 US)		
	5	Laroche, Jean, “Autocorrelation Method for High-Quality Time/Pitch-Scaling,” Telecom Paris, Departement Signal, 75634 Paris Cedex 13. France, email: laroche@sig.enst.fr (DOL07503 US)		
	11	Australian Patent Office – 2/19/07 – Examiner’s first report on application no. 2002248431 (DOL07503 US)		
	12	Chinese Patent Office – 4/22/05 – Notification of First Office Action for Application No. 02808144.7 (DOL07503 US)		
	13	Chinese Patent Office – 12/9/05 – Notification of Second Office Action for Application No. 02808144.7 (DOL07503 US)		
	14	Malaysian Patent Office – 4/7/06 – Substantive Examination Adverse Report – Section 30(1) / 30(2)) for Application No. PI 20021371 (DOL07503 US)		

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INFORMATION DISCLOSURE STATEMENT				APPLICANT Mark Franklin Davis	
				FILING DATE August 31, 2006	GROUP 2615

U.S. PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	12	US-7,313,519	12/25/07	Brett Graham Crockett (DOL091 US)	10/28/03
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	6	WO 00/45378	8/3/00	Kjorling, et al. (DOL091 US)	
	13	WO 02/093560	11/21/02	Brett Graham Crockett (DOL091 US)	

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*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO	
	1	US Serial No. 10/476,347, filed October 28, 2003, Brett Graham Crockett – 2/12/07 Office Action (DOL091 US)		
	2	US Serial No. 10/476,347, filed October 28, 2003, Brett Graham Crockett – 5/14/07 Response to Office Action (DOL091 US)		
	3	PCT/US02/12957, filed 4/25/02 – International Search Report dated 8/12/02 (DOL091 US)		
	4	Vafin, et al., “Modifying Transients for Efficient Coding of Audio,” IEEE, pp. 3285-3288, April 2001 (DOL091 US)		
	5	Vafin, et al., “Improved Modeling of Audio Signals by Modifying Transient Locations,” pp. W2001-W2001-4, October 21-24, 2001, New Paltz, New York (DOL091 US)		
	7	Australian Patent Office – 2/26/07 – Examiner’s first report on application no. 2002307533 (DOL091 US)		
	8	Chinese Patent Office – 5/13/05 – Notification of First Office Action for Application No. 02809542.1 (DOL091 US)		
	9	Chinese Patent Office – 2/17/06 – Notification of Second Office Action for Application No. 02809542.1 (DOL091 US)		
	10	European Patent Office – 12/19/05 – Communication Pursuant to Article 96(2) for EP Application No. 02 769 666.5 – 2218 (DOL091 US)		
	11	Indian Patent Office – 1/3/07 – First Examination Report for Application No. 1308/KOLNP/2003 – J. (DOL091 US)		

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U.S. PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	7	US-7,283,954	10/16/07	Crockett, et al. (DOL092 US)	11/20/03
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FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	4	WO 91/19989	12/26/91	Reynolds, et al. (DOL092 US)	
	8	WO 02/097790	12/5/02	Crockett, et al. (DOL092 US)	

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	1	US Serial No. 10/478,397, filed November 20, 2003, Brett G. Crockett – 2/27/07 Office Action (DOL092 US)		
	2	US Serial No. 10/478,397, filed November 20, 2003, Brett G. Crockett – 5/29/07 Response to Office Action (DOL092 US)		
	3	PCT/US02/05329, filed 2/22/02 – International Search Report dated 10/7/02 (DOL092 US)		
	5	Edmonds, et al., "Automatic Feature Extraction from Spectrograms for Acoustic-Phonetic Analysis," pp. 701-704, Lutchi Research Center, Loughborough University of Technology, Loughborough, U.K. (DOL092 US)		
	6	Chinese Patent Office – 3/10/06 –Notification of the First Office Action for Application No. 02810670.9 (DOL092 US)		

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	6	US-4,624,009	11/18/86	Glenn, et al. (DOL09201 US)	5/2/80
	7	US-5,040,081	8/13/91	McCutchen, David (DOL09201 US)	2/6/89
	8	US-6,211,919	4/3/2001	Zink, et al. (DOL09201 US)	3/28/97
	20	US-2004-0148159	7/29/04	Crockett, et al. (DOL09201 US)	11/20/03

FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	9	WO 91/19989	12/26/91	Reynolds, Kentyn. (DOL09201 US)	
	10	WO 02/097791	12/5/02	Crockett, et al., (DOL09201 US)	
	11	0 372 155 A2	6/13/90	Karamon, et al. (DOL09201 US)	

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	1	US Serial No. 10/478,398, filed November 20, 2003, Brett G. Crockett – 2/27/07 Office Action (DOL09201 US)			
	2	US Serial No. 10/478,398, filed November 20, 2003, Brett G. Crockett – 5/29/07 Response to Office Action (DOL09201 US)			
	3	US Serial No. 10/478,398, filed November 20, 2003, Brett G. Crockett – 10/19/07 Request for Continued Examination with attached IDS (DOL09201 US)			
	4	US Serial No. 10/478,398, filed November 20, 2003, Brett G. Crockett – 1/30/08 Office Action (DOL09201 US)			
	5	PCT/US02/05806, filed 2/25/02 – International Search Report dated 10/7/02 (DOL09201 US)			
	12	Chinese Patent Office – 11/5/04 – Notification of First Office Action for Application No. 02810672.5 (DOL09201 US)			
	13	Chinese Patent Office – 8/26/05 – Notification of Second Office Action for Application No. 02810672.5 (DOL09201 US)			
	14	European Patent Office – 8/10/04 – Communication pursuant to Article 96(2) EPC for Application No. 02 707896.3 – 1247 (DOL09201 US)			
	15	European Patent Office – 12/16/05 – Communication pursuant to Article 96(2) EPC for Application No. 02 707 896.3 – 1247(DOL09201 US)			
	16	Indian Patent Office – 10/10/06 – First Examination Report for Application No. 01490/KOLNP/2003 (DOL09201 US)			

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	17	Indian Patent Office – 5/29/2007 – Letter for Application No. 01490/KOLNP/2003 (DOL09201 US)		
	18	Indian Patent Office – 8/10/07 – Letter for Application No. 01490/KOLNP/2003 (DOL09201 US)		
	19	Japanese Patent Office – Partial Translation of Office Action received 10/5/07 (DOL09201 US)		

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U.S. PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	16	US-2004/0165730	8/26/04	Brett Graham Crockett (DOL098 US)	

FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	17	WO 02/097792	12/5/02	Brett Graham Crockett (DOL098 US)	

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*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO		
	1	US Serial No. 10/478,538, filed November 20, 2003, Brett G. Crockett – 8/24/06 Office Action (DOL098 US)			
	2	US Serial No. 10/478,538, filed November 20, 2003, Brett G. Crockett – 11/24/06 Response to Office Action (DOL098 US)			
	3	US Serial No. 10/478,538, filed November 20, 2003, Brett G. Crockett – 2/23/07 Office Action (DOL098 US)			
	4	US Serial No. 10/478,538, filed November 20, 2003, Brett G. Crockett – 6/25/07 Response to Office Action (DOL098 US)			
	5	US Serial No. 10/478,538, filed November 20, 2003, Brett G. Crockett – 9/10/07 Office Action (DOL098 US)			
	6	US Serial No. 10/478,538, filed November 20, 2003, Brett G Crockett – 1/9/08 – Response to Office Action (DOL098 US)			
	7	PCT/US02/05999, filed 2/26/02 – International Search Report dated 10/7/02 (DOL098 US)			
	8	Fishbach, Alon, "Primary Segmentation of Auditory Scenes, IEEE, pp. 113-117, 1994 (DOL098 US)			
	9	Australian Patent Office – 3/9/07 – Examiner's first report on application no. 2002252143 (DOL098US)			
	10	Chinese Patent Office – 12/31/04 – Notification of the First Office Action for Application No. 02810671.7 (DOL098 US)			
	11	Chinese Patent Office – 7/15/05 – Notification of Second Office Action for Application No. 02810671.7 (DOL098 US)			
	12	Chinese Patent Office – 4/28/06 – Notification of Third Office Action for Application No. 02810671.7 (DOL098 US)			

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	13	Chinese Patent Office – 2/15/08 – Notification of Fourth Office Action for Application No. 02810671.7 (DOL098 US)			
	14	Indian Patent Office – 11/23/06 First Examination Report for Application No. 01487/KOLNP/2003 – G (DOL098 US)			
	15	Indian Patent Office – 7/30/07 (8/2/07) Letter from the Indian Patent Office for Application No. 01487/KOLNP/2003 – G (DOL098 US)			

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	4	US-5,235,646	8/10/93	Wilde, et al. (DOL11505 US)	6/15/90
	7	US-6,021,386	2/1/00	Davis, et al. (DOL11505 US)	3/9/99
		US-			
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		US-			
		US-			
	13	US-2007/0140499	6/21/07	Mark Franklin Davis (DOL11505 US)	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	3	WO 03/069954	8/21/03	Van de Par, et al (DOL11505 US)	
	5	WO 91/20164	12/26/91	Kendall, et al., (DOL11505 US)	
	6	WO 03/090208	10/30/03	Breebaart, et al., (DOL11505 US)	
	14	WO 05/086139	9/15/05	Mark Franklin Davis (DOL11505 US)	

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	1	US Serial No. 10/591,374, filed 08/31/2006, Mark Franklin Davis – Pending claims in application (DOL11505 US)		
	2	PCT/US2005/006359, filed 2/28/2005 - International Search Report and Written Opinion dated 6-6-05 (DOL11505 US)		
	8	ATSC Standard: Digital Audio Compression (AC-3), Revision A, Doc A/52A", ATSC Standard, 8/20/2001, pp. 1-140 (DOL11505 US)		
	9	Schuijers, E., et al.; "Advances in Parametric Coding for High-Quality Audio," Preprints of Papers Presented at the AES Convention, 3/22/2003, pp. 1-11, Amsterdam, The Netherlands (DOL11505 US)		
	10	European Patent Office – 9/28/07 – Examination Report for Application No. 05 724 000.4 - 2225 (DOL11505 US)		
	11	European Patent Office – 1/26/07 – Communication pursuant to Article 96(2) EPC for Application No. 05 724 000.4 – 2218 (DOL11505 US)		
	12	SG 200605858-0 Singapore Patent Office Written Opinion dated 10/17/07 based on PCT Application filed 2/28/2005 (DOL11505 US)		

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*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT			FILING DATE
	9	US-5,862,228	1/19/99	Mark Franklin Davis			2/21/07
	12	US 2006/0029239	2/9/06	Michael John Smithers			8/3/04
FOREIGN PATENT DOCUMENTS							
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT			TRANSLATION YES NO
	10	WO 2004/019656	3/4/04	Mark Franklin Davis (DOL147)			
	11	WO 2005/086139	9/15/05	Mark Franklin Davis (DOL147)			
	8	WO 2006/019719	2/23/06	Michael John Smithers (DOL147)			
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	1	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 10/5/06 Office Action (DOL147)					
	2	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 1/5/07 Response to Office Action (DOL147)					
	3	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 3/28/07 Office Action (DOL147)					
	4	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 6/28/07 RCE and Response to Office Action (DOL147)					
	5	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 8/10/07 Office Action (DOL147)					
	6	US Serial No. 10/911,404, filed August 3, 2004, Michael John Smithers – 12/7/07 Response to Office Action (DOL147)					
	7	PCT/US2005/024630, filed July 13, 2005 – International Search Report and Written Opinion dated 12/1/05 (DOL147)					
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	10	WO 2006/0132857[12/14/06	Seefeldt, et a., (DOL16101 US)			
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	1	U. S. Serial No. 11/999,159 filed December 3, 2007, Alan Jeffrey Seefeldt, et al. - Pending claims in application.(DOL16101 US)					
	2	PCT/US2006/020882, filed 5/26/06 – International Search Report and Written Opinion dated 2/20/07 (DOL16101)					
	3	Faller, Christof, "Coding of Spatial Audio Compatible with Different Playback Formats," Audio Engineering Society Convention Paper, presented at the 117 th Convention, pp. 1-12, 2004 October 28-31, San Francisco, CA (DOL16101 US)					
	4	Herre, et al., "MP3 Surround: Efficient and Compatible Coding of Multi-Channel Audio," Audio Engineering Society Convention Paper, presented at the 116 th Convention, pp. 1-14, 2004 May 8-11, Berlin, Germany (DOL16101 US)					
	5	Fielder, et al., "Introduction to Dolby Digital Plus, an Enhancement to the Dolby Digital Coding System," Audio Engineering Society Convention Paper, presented at the 117 th Convention, pp. 1-29, 2004 October 28-31, San Francisco, CA (DOL16101 US)					
	6	Herre, et al., "Spatial Audio Coding: Next-Generation Efficient and Compatible Coding of Multi-Channel Audio," Audio Engineering Society Convention Paper, presented at the 117 th Convention, pp. 1-13, 2004 October 28-31, San Francisco, CA (DOL16101 US)					
	7	Faller, Christof, "Parametric Coding of Spatial Audio," These No 3062, pp. 1-164, (2004) Lausanne, EPFL (DOL16101 US)					
	8	Herre, et al., "The Reference Model Architecture for MPEG Spatial Audio Coding," Audio Engineering Society Convention Paper, presented at the 118 th Convention, pp. 1-13, 2005 May 28-31, Barcelona, Spain (DOL16101 US)					
	9	Schuijers, et al., "Low Complexity Parametric Stereo Coding," Audio Engineering Society Convention Paper, presented at the 116 th Convention, pp. 1-11, 2004 May 8-11, Berlin, Germany (DOL16101 US)					
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Substitute Form PTO-1449 INFORMATION DISCLOSURE STATEMENT	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DOCKET DOL11505 US	S.N. 10/591,374
	APPLICANT Mark Franklin Davis		
	FILING DATE August 31, 2006	GROUP 2615	

U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
		US-			2/26/02
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FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	2	WO 07/016107	2/8/07	Seefeldt, et al., (DOL16301 US)	

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO
	1	PCT/US2006/028874, filed 7/24/06 – Alan Jeffrey Seefeldt and Mark Stuart Vinton – Pending claims in application. (DOL16301 US)	

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INFORMATION DISCLOSURE STATEMENT

APPLICANT

Mark Franklin Davis

FILING DATE

August 31, 006

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U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	3	US-2004/165730	8/26/04	Crockett (DOL186)	2/26/02
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FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
	5	WO 2007/127023	11/8/07	Crockett, et al. (DOL186 PCT)	

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO
	1	PCT/US2007/008313, filed 3/30/07 – International Search Report and Written Opinion dated 9-21-07 (DOL186 PCT)	
	2	Blessner, B., "An Ultraminiature Console Compression System with Maximum User Flexibility," presented 10/8/1971 at the 41 st Convention of the Audio Engineering Society, New York, AES May 1972 Vol. 20, Number 4, pp. 297-302 (DOL186 PCT)	
	4	Hoeg, W., et al., "Dynamic Range Control (DRC) and Music/Speech Control (MSC) Programme-Associated Data Services for DAB," EBU Review – Technical, European Broadcasting Union. Brussels, BE, no. 261, 9-21-1994, pp. 56-70. (DOL186 PCT)	

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